



August 25, 2026
PA-26-02

PROGRAM ADVISORY

TO: Designated Agency Ethics Officials

CC: Chief Human Capital Officers (or equivalent) and Inspectors General

FROM: Scott de la Vega
Ethics Counsel

SUBJECT: 2026 Annual Agency Ethics Program Questionnaire

At the beginning of each calendar year, agencies are required to submit an annual report to the U.S. Office of Government Ethics (OGE).¹ Agencies fulfill that obligation by submitting a response to the Annual Agency Ethics Program Questionnaire (questionnaire).

This Program Advisory explains how and when to submit the questionnaire response, describes the changes made from the 2025 questionnaire, and provides executive branch agencies with an advance copy of the 2026 questionnaire. The advance copy provides agencies with time to coordinate among their ethics officials, human capital officers, and Inspectors General in order to prepare complete and accurate responses. In addition, this Advisory explains how OGE uses the data and reminds agencies of the public availability of the questionnaire responses.

I. How and When to Submit a Response

The responses to the 2026 questionnaire are due to OGE by February 1, 2027.

In January 2027, OGE will email Designated Agency Ethics Officials (DAEOs) and their Alternates (ADAEOs) a link to the online form that agencies will use to submit their response to the questionnaire. Agencies must submit their responses electronically through the online form. OGE will not accept responses in any other format. OGE will accept only one response per agency, which must encompass all of an agency's components.

¹ See 5 U.S.C. § 13122(e)(1); 5 C.F.R. § 2638.207(a).



The questionnaire covers the 2026 calendar year (January 1, 2026, through December 31, 2026), except as specified.

II. Highlights of Changes to the Questionnaire

OGE added no new questions or response options to the questionnaire.

III. How OGE Uses the Data

OGE uses the questionnaire data to report on the executive branch ethics program to the public, Congress, and the ethics community. OGE also uses the information to carry out its oversight role, to gain knowledge about individual agency ethics programs and the executive branch program as a whole, and to make informed decisions about priorities and resource allocation.

IV. Public Availability

OGE will continue to make individual agency responses, as well as a summary report, publicly available on its website (www.oge.gov). Previous questionnaire responses can be found at <https://www.oge.gov/web/OGE.nsf/Agency%20Ethics%20Documents%20Search%20Collection?OpenForm> and previous summary reports may be found at https://www.oge.gov/web/oge.nsf/accessdocs_summary-reports.

If you have questions or concerns regarding this Advisory or the advance questionnaire, please contact Nicole Stein at 202-482-9255 or nstein@oge.gov.

Attachment

*United States
Office of Government Ethics*

2026 AGENCY ETHICS PROGRAM QUESTIONNAIRE

PART 1. INTRODUCTION

Executive branch agencies are required to submit an annual report to the United States Office of Government Ethics (OGE) concerning certain aspects of their ethics programs (5 U.S.C. § 13122(e)(1)). Your response to OGE's Annual Ethics Program Questionnaire (the questionnaire) serves as your annual report.

OGE uses the data collected through the questionnaire in many ways, including sharing information about the entire executive branch ethics program with the public, Congress, and the ethics community. OGE also uses the information to carry out its oversight role, to gain knowledge about individual agency programs, as well as the overall executive branch ethics program, and to make informed decisions about resource allocation and priorities. OGE posts a summary of questionnaire responses and each agency's unedited responses on OGE's website. Therefore, please ensure your responses are suitable for publication.

OGE encourages each agency to use the annual exercise of completing the questionnaire as an opportunity to evaluate your ethics program.

DUE DATE: By regulation, the questionnaire is due to OGE by February 1, 2027. (5 C.F.R. § 2638.207(a)).

PART 2. INSTRUCTIONS

Your response to this questionnaire should reflect the 2026 calendar year (i.e., 1/1/2026 through 12/31/2026), except as specified. The answers provided should reflect the aggregate data for **your agency**. OGE will only accept **one submission per agency**.

Throughout the questionnaire you will be offered an opportunity to provide comments or explanations for your responses. Please use these comment sections to explain any discrepancies between levels of required activity and actual activity, and to explain significant changes from your 2025 report. To safeguard privacy, please avoid the use of Personally Identifiable Information (PII) in your responses. After OGE has reviewed your questionnaire submission, you may be contacted for follow-up.

PART 3. DEFINITIONS

Agency Head: For purposes of this questionnaire, the term “agency head” means the head of an agency. In the case of a department, it means the Secretary of the department. In the case of a board or commission, it means the Chair of the board or commission.

D.C Metro Area: For purposes of this questionnaire, D.C. Metro Area means the District of Columbia, DC; Calvert County, MD; Charles County, MD; Prince George's County, MD; Arlington County, VA; Clarke County, VA; Culpeper County, VA; Fairfax County, VA; Fauquier County, VA; Loudoun County, VA; Prince William County, VA; Rappahannock County, VA; Spotsylvania County, VA; Stafford County, VA; Warren County, VA; Alexandria City, VA; Fairfax City, VA; Falls Church City, VA; Fredericksburg City, VA; Manassas City, VA; Manassas Park City, VA; Jefferson County, WV; and, Silver Spring-Frederick-Rockville, MD Metropolitan Division Frederick County, and Montgomery County.

Agency Employees: For purposes of this questionnaire, the term “agency employees” means any officer or employee of an agency, including a special Government employee. It includes officers but not enlisted members of the uniformed services.

Special Government Employee (SGE): For purposes of this questionnaire, the term “special Government employee” (SGE) means an officer or employee who is retained, designated, appointed, or employed, to perform temporary duties, either on a full-time or intermittent basis, with or without compensation, for not more than 130 days during any period of 365 consecutive days. The term “SGE” does not include enlisted members of the Armed Forces. It does, however, include these categories of officers or employees:

- Part-time United States commissioners;
- Reserve officers of the Armed Forces and officers of the National Guard of the United States (unless otherwise officers or employees of the United States) while on active duty solely for training or serving involuntarily.

PART 4. PROGRAM RESOURCES AND ADMINISTRATION

1. Agency: _____
2. Number of full-time agency employees as of December 31, 2026: _____
3. Information about the Designated Agency Ethics Official (DAEO):

a. Vacant (as of December 31, 2026)?	☐ Yes (<i>skip to #4a</i>) ☐ No
b. Time in current DAEO position	☐ Less than 1 year ☐ 1-4 years ☐ 5-9 years ☐ 10 or more years
c. Total years performing ethics duties	☐ Less than 1 year ☐ 1-4 years ☐ 5-9 years ☐ 10 or more years
d. Percent of time spent on ethics	☐ 0-25% ☐ 26-50% ☐ 51-75% ☐ 76-100%
e. Is the DAEO a career employee or a political appointee?	☐ career employee ☐ political appointee
f. Number of reporting levels between the DAEO and the agency head.	☐ 0 (the agency head is the DAEO) ☐ 1 ☐ 2 ☐ 3 ☐ 4 or more

4. Information about the Alternate Designated Agency Ethics Official (ADAEO):

a. Vacant (as of December 31, 2026)?	☐ Yes (<i>skip to #5</i>) ☐ No
b. Time in current ADAEO position	☐ Less than 1 year ☐ 1-4 years ☐ 5-9 years ☐ 10 or more years
c. Total years performing ethics duties	☐ Less than 1 year ☐ 1-4 years ☐ 5-9 years ☐ 10 or more years
d. Percent of time spent on ethics	☐ 0-25% ☐ 26-50%

	☐ 51-75% ☐ 76-100%
e. Is the ADAEO a career employee or a political appointee?	☐ career employee ☐ political appointee

5. Report the number of employees, including the DAEO and ADAEO, who performed ethics program duties in 2026 (e.g., financial disclosure, education and training, advice and counseling, and program administration).

	Number of employees by hours worked each week					
Duty Station	Less than 1 hour per week (up to .025 FTE*)	1-10 hours per week (up to .25 FTE*)	11-20 hours per week (up to .5 FTE*)	21-30 hours per week (up to .75 FTE*)	31-40 hours per week (up to 1 FTE*)	TOTAL
a. D.C. Metro area						
b. Outside the D.C. Metro area						
TOTAL						

*FTE = Full Time Equivalent

Example: The table below provides an example of an agency with 13 employees who performed ethics program duties in 2026.

	Number of employees by hours worked each week					
Duty Station	Less than 1 hour per week (up to .025 FTE*)	1-10 hours per week (up to .25 FTE*)	11-20 hours per week (up to .5 FTE*)	21-30 hours per week (up to .75 FTE*)	31-40 hours per week (up to 1 FTE*)	TOTAL
a. D.C. Metro area	1	0	2	2	1	6
b. Outside the D.C. Metro area	1	3	3	0	0	7
TOTAL	2	3	5	2	1	13

6. In what areas did contractors support the ethics program? Select all that apply.
- ☐ Not applicable (no contractors supported the ethics program)
 - ☐ IT services (e.g., developing or supporting electronic filing systems, applications, websites, and/or databases, etc.)
Describe the IT support (*optional*): _____
 - ☐ Administrative support (e.g., tracking filing or training requirements, sending reminders, data entry, etc.)
Describe the administrative support (*optional*): _____
 - ☐ Substantive ethics support (e.g., providing training, initial review of financial disclosures, drafting advice for further review, etc.)
Describe the substantive support (*optional*): _____
 - ☐ Other
Describe the other support: _____
7. Did your agency **receive** ethics services or support from another federal agency or federal entity? Do not include contractors, OGE support, or OMB support of MAX.gov.
- ☐ Yes
Provide the name(s) of the federal agency or entity: _____
Describe the services or support received: _____
 - ☐ No
8. Did your agency **provide** ethics program services or support for any board, commission, or agency that is independent of your agency?
- ☐ Yes
Provide the name(s) of the board, commission, or agency: _____
Describe the services or support provided: _____
 - ☐ No
9. Does your agency's ethics program need additional resources? Select all that apply.
- ☐ No additional resources needed
 - ☐ Human Capital
 - ☐ Technology
 - ☐ Other
Describe the other resources: _____
10. Did the agency head meet with the ethics staff to discuss the strengths and weaknesses of the ethics program in 2026?
- ☐ Yes
 - ☐ No

☐ Not applicable

Explain why not applicable: _____

11. Did your agency (e.g., ethics office, Inspector General, General Counsel, etc.) or any entity outside of your agency (e.g., GAO or private auditing firm) evaluate any aspect of the ethics program in 2026 (5 C.F.R. § 2638.104(c)(16))? **Exclude** program reviews conducted by OGE. Select all that apply.

- ☐ My agency (e.g., ethics office, Inspector General, General Counsel, etc.) conducted an evaluation
- ☐ An entity outside of my agency, other than OGE, (e.g., GAO or a private auditing firm) conducted an evaluation
- ☐ No evaluation was conducted (*skip to #13*)

12. What kind of changes resulted from the evaluation?

- ☐ Programmatic changes
Describe the programmatic changes: _____
- ☐ Policy changes
Describe the policy changes: _____
- ☐ No changes resulted
Explain why not: _____
- ☐ Recommendations still being considered
- ☐ Evaluation has not been completed
- ☐ Not applicable
Explain why not applicable: _____

13. Of the following required written procedures, which did you have in place? Select all that apply.

- ☐ Financial disclosure program, including for the filing, review, and when applicable, public availability of public financial disclosure reports (5 C.F.R. § 2638.104(c)(8)(i))
- ☐ Issuance of notice of ethical obligations in written offers of employment (5 C.F.R. § 2638.303)
- ☐ Provision of initial ethics training (5 C.F.R. § 2638.304)
- ☐ Issuance of ethics notice to new supervisors (5 C.F.R. § 2638.306)
- ☐ None
Explain what steps you are taking to implement the required written procedures: _____

ADDITIONAL COMMENTS FOR PART 4. Indicate the question number to which the comment corresponds.

PART 5. EDUCATION AND TRAINING

14. Did the office(s) responsible for issuing ethics notices to prospective employees pursuant to 5 C.F.R. § 2638.303, provide the DAEO with the written confirmation required pursuant to 5 C.F.R. § 2638.310?
- ☐ Written confirmation not required because my agency has fewer than 1,000 employees
 - ☐ Written confirmation not required because the DAEO's office is responsible for issuing ethics notices to prospective employees
 - ☐ All of the offices provided written confirmation to the DAEO (*skip to #16*)
 - ☐ Some of the offices provided written confirmation to the DAEO
Explain why not all offices (*then skip to #16*): _____
 - ☐ None of the offices provided written confirmation to the DAEO
Explain why not all offices (*then skip to #16*): _____
15. Did written offers of employment for positions covered by the Standards of Conduct include the information required by 5 C.F.R. § 2638.303?
- ☐ All of the written offers included the required information
 - ☐ Some of the written offers included the required information
Explain why not all offers: _____
 - ☐ None of the written offers included the required information
Explain why not all offers: _____
 - ☐ Not applicable because no offers of employment were made
 - ☐ Not applicable for another reason
Explain why not applicable: _____
16. Initial Ethics Briefing

a. How many agency leaders, as defined in 5 C.F.R. § 2638.305(a), were required to receive ethics briefings by December 31, 2026? Exclude SGEs that were expected to serve less than 60 days on a board, commission, or committee.	
i. How many new agency leaders received their briefing within 15 days of their appointment?	
ii. How many new agency leaders received their briefing beyond the 15-day requirement?	
iii. How many new agency leaders have yet to receive their briefing as of today?	

If applicable, explain why some of the leaders received their briefing beyond the 15-day requirement or have yet to receive their briefing: _____

17. Initial Ethics Training

a. How many employees, as defined in 5 C.F.R. § 2638.304(a), were required to receive Initial Ethics Training (IET) by December 31, 2026 (5 C.F.R. § 2638.304)? Exclude SGEs that were expected to serve less than 60 days on a board, commission, or committee. (Note: Include employees who were not required to receive the interactive portion of the IET, as provided in 5 C.F.R. § 2638.304(a)(2).)	
i. How many of those employees received IET within the 3-month requirement?	
ii. How many of those employees received IET beyond the 3-month requirement?	
iii. How many of those employees have not received IET as of today?	

If applicable, explain why some employees received IET beyond the 3-month requirement or have yet to receive IET: _____

Example: If an employee started at the agency on December 15, 2026, and the employee completed IET prior to the end of the calendar year, include the employee in your required and received numbers. If, on January 1, 2027, the employee has not completed IET, do not count that employee in your required numbers. Instead, include the employee in your 2027 questionnaire response to be filed in 2028.

18. Did the office(s) delegated the responsibility for providing initial ethics training (IET) provide the required written confirmation to the DAEO pursuant to 5 C.F.R. § 2638.310?

- ☐ Written confirmation not required because my agency has fewer than 1,000 employees
- ☐ Written confirmation not required because all IET was provided by an office under the DAEO's supervision
- ☐ All of the offices provided the written confirmation to the DAEO
- ☐ Some of the offices provided the written confirmation to the DAEO
Explain why not all offices: _____
- ☐ None of the offices provided the written confirmation to the DAEO
Explain why not all offices: _____

19. Did the head of the agency complete either initial ethics training and/or annual ethics training in 2026?

☐ Yes

☐ No

Explain why not: _____

☐ Not applicable

Explain why not applicable: _____

20. Required Annual Ethics Training

Type of covered employees (<u>Include</u> SGE filers)	# Required	# Received (of those required)
a. Executive Schedule Level I or Level II public filers (OGE Form 278e)		
b. All other public filers (OGE Form 278e)		
c. Confidential filers (OGE Form 450 and OGE-approved alternative confidential financial disclosure forms)		
d. Other employees required by 5 C.F.R. § 2638.307(a) (employees appointed by the President; employees of the Executive Office of the President; contracting officers; or, other employees designated by the head of the agency.)		
TOTAL		

If applicable, explain discrepancies between the number of employees who were required to receive training and the number of employees who received training: _____

21. Did your agency establish additional requirements for the agency's ethics education program pursuant to 5 C.F.R. § 2638.309?:

☐ My agency established additional training requirements for employees performing ethics duties described in 5 C.F.R. §§ 2638.104-105 (ethics and human resources officials).

Describe the additional training requirements: _____

☐ My agency established additional training requirements for other groups of employees, beyond those described in 5 C.F.R. §§ 2638.303-308 (notices to new employees and supervisors, initial ethics training, ethics briefings, annual ethics training).

Describe the additional training requirements: _____

- ☐ My agency established additional training procedures (e.g., certification procedures). Pursuant to 5 C.F.R. § 2638.309(c).

Describe the additional training procedures: _____

- ☐ No, my agency did not establish additional training requirements

22. If your agency assessed risk to help inform the content, format, and/or timing of ethics education and communications, select all that apply (see PA-19-05 for reference):

- ☐ Reviewed advice logs for common issues
☐ Discussed upcoming work and agency priorities with senior staff
☐ Talked to program managers about risks inherent in their work
☐ Conducted surveys to identify common and emerging ethics risks
☐ Talked to employees about the ethics concerns they encounter in the workplace
☐ Other

Describe: _____

- ☐ My agency did not assess risk

23. If your agency evaluated the effectiveness of your ethics education and/or communication, select all that apply (see PA-19-05 for reference):

- ☐ Conducted self-assessments to ensure that required employees are receiving training
☐ Administered post-training evaluations to assess participants' perceptions of the training
☐ Reviewed advice logs for increased activity after training presentations and communications
☐ Held discussions with agency leaders and employees to evaluate whether the training and communications they received supported them in managing ethics risks
☐ Ensured ethics education was accessible (508 compliant) to participants
☐ Other

Describe: _____

- ☐ My agency did not evaluate the effectiveness of ethics education

ADDITIONAL COMMENTS FOR PART 5. Indicate the question number to which the comment corresponds.

PART 6. ADVICE, COUNSELING, AND REMEDIES

24. From the list below, select the three topics that your employees most frequently sought guidance on in 2026. Rank them in order, so that the first topic is the topic on which employees sought guidance the most frequently.

Conflicting financial interests
Gift acceptance
Financial disclosure reporting
Impartiality in performance of official duties
Misuse of position, government resources, and information
Outside employment/activities
Post-employment restrictions
Travel, subsistence, and related expenses from non-federal sources
Other (specify)_____

25. Number of notification statements of negotiation or recusal under section 17(a) of the STOCK Act submitted to the ethics office in 2026 (pursuant to 5 C.F.R. § 2635.602(a)): ____

26. Number of 18 U.S.C. § 208 waivers granted in 2026:

	Number Granted in 2026	Number Sent to OGE
a. 208(b)(1) waivers		
b. 208(b)(3) waivers		

If applicable, explain discrepancies between the number of waivers granted and the number provided to OGE: _____

27. Number of Legal Expense Fund documents filed in 2026 (pursuant to 5 C.F.R. § 2635.1007):

	Number Filed in 2026	Number Sent to OGE
a. Trust Document		
b. Quarterly Reports		
c. Termination Report		

If applicable, explain discrepancies between the number of documents filed and the number provided to OGE: _____

ADDITIONAL COMMENTS FOR PART 6. Indicate the question number to which the comment corresponds.

PART 7. FINANCIAL DISCLOSURE PROGRAM MANAGEMENT AND ELECTRONIC FILING SYSTEMS

28. How often, within the 15-day deadline, did the human resources office(s) notify the DAEO of appointments to public and confidential financial disclosure filing positions (5 C.F.R. § 2638.105(a)(1))?

	In All Cases	In Most Cases	In Some Cases (specify why, below)	Never (specify why, below)	Not Applicable (specify why, below)
a. Public Filers					
b. Confidential Filers					

If not applicable, specify why: _____

If “never” or “in some cases,” explain: _____

29. How often, within the 15-day deadline, did the human resources office(s) notify the DAEO of terminations from public financial disclosure filing positions (5 C.F.R. § 2638.105(a)(2))?

	In All Cases	In Most Cases	In Some Cases (specify why, below)	Never (specify why, below)	Not Applicable (specify why, below)
a. Public Filers					

If not applicable, specify why: _____

If “never” or “in some cases,” explain: _____

30. Were all public and confidential financial reports, in calendar year 2026, filed using an electronic filing system?

- ☐ Yes, all reports were filed in an electronic filing system (*skip to #32*)
☐ No

31. Indicate which forms your agency uses. Select all that apply.

- ☐ 450 (pdf)
☐ 450 (excel)
☐ 278e (pdf)

- ☐ 278e (excel)
- ☐ 278-T (pdf)
- ☐ 278-T (excel)

32. Which electronic filing system(s) does your agency use?

- ☐ *Integrity* ONLY (skip to Additional Comments for this Part)
- ☐ *Integrity* **and** Other

33. Indicate for which forms your agency uses the “Other” e-filing system. Select all that apply.

- ☐ Public Financial Disclosure (OGE Form 278e)
Provide the name of the other system: _____
- ☐ Periodic Transactions (OGE Form 278-T)
Provide the name of the other system: _____
- ☐ Confidential Financial Disclosure (OGE Form 450 or OGE-approved alternative form)
Provide the name of the other system: _____

34. Indicate your agency’s fiscal year 2026 actual costs for using the e-filing system. *Note:* Because OGE does not charge fees to use *Integrity*, there are no reportable costs associated with the use of *Integrity*.

	Public (do not include <i>Integrity</i>)	Confidential
a. Amount paid to a non-federal vendor in fiscal year 2026	☐ Don’t know/don’t track	☐ Don’t know/don’t track
b. Amount paid to a federal agency in fiscal year 2026	☐ Don’t know/don’t track	☐ Don’t know/don’t track
c. Amount for all internal costs associated with operating an e-filing system (e.g., FTE, overhead, etc.) in fiscal year 2026	☐ Don’t know/don’t track	☐ Don’t know/don’t track
Total fiscal year 2026 actual costs		

35. Indicate the number of filers who filed electronically in fiscal year 2026.

	Public (<u>excluding</u> filers in <i>Integrity</i>)	Confidential
Number of financial disclosure filers, not reports, who filed electronically in fiscal year 2026		

ADDITIONAL COMMENTS FOR PART 7. Indicate the question number to which the comment corresponds.

PART 8. PUBLIC FINANCIAL DISCLOSURE

36. Report the number of public financial disclosure reports (OGE Form 278e) required to be filed by December 31, 2026, **excluding** SGEs, and the number of reports actually filed (i.e., received) by December 31, 2026.

OGE Form 278e Reports		PAS ²	Non-Career SES ³	Career SES ³	Schedule C	Other ⁴	TOTAL
a. New Entrant	Required						
	Filed						
b. Annual	Required						
	Filed						
c. Termination	Required						
	Filed						
d. Combination ¹	Required						
	Filed						
Total	Required						
	Filed						

¹ Includes reports filed to satisfy both annual and termination requirements, as well as new entrant and termination requirements.

² Presidential appointees confirmed by the Senate.

³ Senior Executive Service, Senior Foreign Service, Senior Cryptologic Service, Defense Intelligence Senior Executive Service, etc.

⁴ Includes members of the Uniformed Services, Administrative Law Judges, Senior Level employees (SES Equivalent), administratively determined positions, officials in the Executive Office of the President who do not otherwise meet the criteria of another category in the chart, etc.

Example for new entrant and termination reports: If an employee joined (or departed) the agency on December 15, 2026, and the employee filed a new entrant (or termination) report prior to the end of the calendar year, include the report in your required and filed numbers. If, on January 1, 2027, the employee has not filed a new entrant (or termination) report, do not count that report in your required numbers. Instead, include the report in your 2027 questionnaire response to be filed in 2028.

If applicable, explain discrepancies between the number of reports required to be filed and the actual number of reports filed:_____

37. Report the number of public financial disclosure reports certified or otherwise closed by your agency during the calendar year. **Exclude** reports of SGEs. Of those reports, indicate how many were initially reviewed within 60 days and how many were certified within 60 days. “Initially reviewed within 60 days” means having completed a technical review and conflicts analysis. See 5 C.F.R. § 2634.605 and section 4.02 of the Public Financial Disclosure Guide.

OGE Form 278e Reports		PAS ²	Non-Career SES ³	Career SES ³	Schedule C	Other ⁴	TOTAL
a. New Entrant	How many reports did your agency certify or close in 2026?						
	Of those certified or closed in 2026, how many were initially reviewed within 60 days?						
	Of those certified or closed in 2026, how many were certified or closed within 60 days?						
b. Annual	How many reports did your agency certify or close in 2026?						
	Of those certified or closed in 2026, how many were initially reviewed within 60 days?						
	Of those certified or closed in 2026, how many were certified or closed within 60 days?						
c. Termination	How many reports did your agency certify or close in 2026?						
	Of those certified or closed in 2026, how many were initially						

	reviewed within 60 days?						
	Of those certified or closed in 2026, how many were certified or closed within 60 days?						
d. Combination ¹	How many reports did your agency certify or close in 2026?						
	Of those certified or closed in 2026, how many were initially reviewed within 60 days?						
	Of those certified or closed in 2026, how many were certified or closed within 60 days?						
TOTAL	How many reports did your agency certify or close in 2026?						
	Of those certified or closed in 2026, how many were initially reviewed within 60 days?						
	Of those certified or closed in 2026, how many were certified or closed within 60 days?						

¹ Includes reports filed to satisfy both annual and termination requirements, as well as new entrant and termination requirements.

² Presidential appointees confirmed by the Senate.

³ Senior Executive Service, Senior Foreign Service, Senior Cryptologic Service, Defense Intelligence Senior Executive Service, etc.

⁴ Includes members of the Uniformed Services, Administrative Law Judges, Senior Level employees (SES Equivalent), administratively determined positions, officials in the Executive Office of the President who do not otherwise meet the criteria of another section, etc.

If applicable, explain why some reports were reviewed more than 60 days after submission: _____

If applicable, explain why some reports were certified or closed more than 60 days after submission. Select all that apply.

- ☐ Additional information was being sought
- ☐ Remedial action was being taken
- ☐ Other

Describe: _____

38. Number of periodic transaction reports filed, **excluding** those filed by SGEs: _____

Note: Count the total number of periodic transaction reports filed. *Example 1:* If two employees each file 5 periodic transaction reports during the calendar year, report “10” in the space above. *Example 2:* If an employee files one report each month, each report is counted separately. Report “12”.

39. Report the number of extensions and late fees for new entrant, annual, termination, and combination public financial disclosure reports and periodic transaction reports, **excluding** those for reports filed by SGEs.

	Granted Filing Extension	Granted Waiver of Late Filing Fee	Paid Late Filing Fee
a. Number of OGE Form 278e Reports			
b. Number of OGE Form 278-T Reports			

40. Number of public financial disclosure filers reported in calendar year 2026 to the Attorney General for failure to file: _____

41. Number of requests for public financial disclosure reports received in 2026: Count each OGE Form 201 as one request, even if it contains a request for documents for multiple individuals: _____

42. Number of documents requested under the Ethics in Government Act released in calendar year 2026: _____ ☐ Don't Know/Don't Track

ADDITIONAL COMMENTS FOR PART 8. Indicate the question number to which the comment corresponds.

PART 9. CONFIDENTIAL FINANCIAL DISCLOSURE

43. Report the number of confidential financial disclosure reports required to be filed by December 31, 2026, **excluding** SGEs, and the number of reports actually filed by December 31, 2026.

	a. Required	b. Filed	
		OGE Form 450	
		OGE-approved alternative form	
Total			

Note: If a 450 filer leaves the filing position before the due date, the report is not required per 5 C.F.R. § 2634.903(a).

Example for new entrant reports: If an employee started at the agency on December 15, 2026, and filed a new entrant report prior to the end of the calendar year, include the report in your required and filed numbers. If, on January 1, 2027, the employee has not filed a new entrant report, do not count that report in your required numbers. Instead, include the report in your 2027 questionnaire response to be filed in 2028.

If applicable, explain discrepancies between the number of reports required to be filed and the actual number of reports filed: _____

44. Report the number of confidential financial disclosure reports certified or otherwise closed by your agency during the calendar year. **Exclude** reports of SGEs. Of those reports, indicate how many were initially reviewed within 60 days and how many were certified within 60 days. "Initially reviewed within 60 days" means having completed a technical review and conflicts analysis. See 5 C.F.R. § 2634.605 and the Certification Requirements section of the Confidential Financial Disclosure Guide.

	How many reports did your agency certify or close in 2026?	Of those certified or closed in 2026, how many were initially reviewed within 60 days?	Of those certified or closed in 2026, how many were certified or closed within 60 days?
a. OGE Form 450 and			

OGE- approved alternative			
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If applicable, explain why some reports were reviewed more than 60 days after submission:

If applicable, explain why some reports were certified or closed more than 60 days after submission. Select all that apply.

- ☐ Additional information was being sought
- ☐ Remedial action was being taken
- ☐ Other

Describe: _____

45. Number of OGE 450 or OGE-approved alternative forms granted filing extensions in 2026: _____

ADDITIONAL COMMENTS PART 9. Indicate the question number to which the comment corresponds.

PART 10. ENFORCEMENT OF STANDARDS OF CONDUCT AND CRIMINAL AND CIVIL STATUTES

46. Report the number of disciplinary actions taken in 2026 based wholly or in part upon violations of the Standards of Conduct provisions (5 C.F.R. part 2635) or your agency's supplemental Standards (if applicable). For purposes of this question, disciplinary actions include removals, demotions, suspensions, and written reprimands or their equivalents.

a. Total number of disciplinary actions that met the above criteria	
---	--

Of those, how many were disciplinary actions were taken wholly or in part upon violations of:

i. Subpart A (General Provisions)	
ii. Subpart B (Gifts from Outside Sources)	
iii. Subpart C (Gifts Between Employees)	
iv. Subpart D (Conflicting Financial Interests)	
v. Subpart E (Impartiality in Performing Official Duties)	
vi. Subpart F (Seeking Other Employment)	
vii. Subpart G (Misuse of Position)	
viii. Subpart H (Outside Activities)	
ix. Subpart J (Legal Expense Funds)	
x. Agency's supplemental Standards of Conduct	

47. Report the number of disciplinary actions taken in 2026 based wholly or in part upon violations of the criminal conflict of interest statutes (18 U.S.C. §§ 203, 205, 208, and 209), failure to file or filing false public financial disclosures (5 U.S.C. § 13106 or 18 U.S.C. § 1001), civil matters involving outside earned income (5 U.S.C. § 13143), or outside activities (5 U.S.C. § 13144). For purposes of this question, disciplinary actions include removals, demotions, suspensions, and written reprimands or their equivalents.

a. Disciplinary actions taken based on violation of ethics laws	
---	--

Of those, how many were disciplinary actions taken based wholly or in part upon violations of:

i. 18 U.S.C. § 203 (Compensation in Matters Affecting the Government)	
ii. 18 U.S.C. § 205 (Claims Against and Matters Affecting the Government)	
iii. 18 U.S.C. § 208 (Acts Affecting a Personal Financial Interest)	

iv. 18 U.S.C. § 209 (Supplementation of Salary)	
v. 5 U.S.C. § 13106	
vi. 18 U.S.C. § 1001 (Failure to File or Filing False Public Financial Disclosures)	
vii. 5 U.S.C. § 13143 (Outside Earned Income)	
viii. 5 U.S.C. § 13144 (Outside Activities)	

48. Report the number of referrals made in 2026 to the Department of Justice (DOJ) of potential violations of the conflict-of-interest statutes (18 U.S.C. §§ 203, 205, 207, 208, 209), failure to file or filing false public financial disclosures (5 U.S.C. § 13106 or 18 U.S.C. § 1001), civil matters involving outside earned income (5 U.S.C. § 13143) or outside activities (5 U.S.C. § 13144)

a. Referrals to Department of Justice	
---------------------------------------	--

Of the referrals to DOJ (line a.), provide the status of each referral:

b. DOJ Referral Status	
i. How many of those referrals were accepted for prosecution?	
ii. How many of those referrals were declined for prosecution?	
iii. How many of those referrals were pending DOJ's decision as of December 31, 2026?	

Of the referrals to DOJ (line a.), provide the status of any associated agency disciplinary action:

c. Agency Disciplinary Action Status	
i. Of the cases referred to DOJ, how many resulted in disciplinary or corrective action?	
ii. Of the cases referred to DOJ, how many resulted in a determination not to take disciplinary or corrective action?	
iii. Of the cases referred to DOJ, how many are pending a determination as to whether disciplinary or corrective action will be taken?	

iv. Of the cases referred to DOJ, how many involved employees who left the agency before the agency determined whether or not to take disciplinary action?	
v. Of the cases referred to DOJ, how many involved 18 U.S.C. § 207, such that there was no option for disciplinary action?	

49. Did your agency notify OGE of all referral(s) and disposition(s) of the referral(s) via OGE Form 202 pursuant to 5 C.F.R. § 2638.206(a)?

☐ Yes

☐ No

Explain why not: _____

☐ Not applicable because no covered referrals were made to DOJ

ADDITIONAL COMMENTS FOR PART 10. Indicate the question number to which the comment corresponds.

PART 11. SPECIAL GOVERNMENT EMPLOYEES (SGEs)

50. How many special Government employees (SGEs) did your agency have, in total, during calendar year 2026? _____ (if zero, skip to Additional Comments for this Part)

51. Initial Ethics Training

a. How many SGEs who were expected to serve for 60 days or less on a board, commission, or committee were required to receive Initial Ethics Training (IET) by December 31, 2026 (5 C.F.R. § 2638.304(b)(2))?	
i. How many of those SGEs received IET before or at the beginning of the first meeting?	
ii. How many of those SGEs received IET after the first meeting?	
iii. How many of those SGEs have not received IET as of today?	

If applicable, explain why some SGEs received IET after the first meeting or have yet to receive IET: _____

52. Report the number of SGE public and confidential financial disclosure reports required to be filed by December 31, 2026, and the number of reports actually filed by December 31, 2026.

	Confidential Reports (OGE Form 450 or OGE- Approved Alternative Form)		Public Reports (OGE Form 278e)	
	Required	Filed	Required	Filed
a. Advisory Committee Members (FACA)				
b. Advisory Committee Members (non-FACA)				
c. Experts/Consultants				
d. Board Members				
e. Commissioners				
f. Other				
TOTAL				

Example for new entrant and termination reports: If an employee joined (or departed) the agency on December 15, 2026, and filed a new entrant (or termination) report prior to the end of the calendar year, include the report in your required and filed numbers.

If, on January 1, 2027, the employee has not filed a new entrant (or termination) report, do not count that report in your required numbers. Instead, include the report in your 2027 questionnaire response to be filed in 2028.

If applicable, explain discrepancies between the number of reports required to be filed and the actual number of reports filed: _____

53. Report the number of SGE disclosure reports certified or otherwise closed by your agency during the calendar year. Of those reports, indicate how many were initially reviewed within 60 days and how many were certified within 60 days. "Initially reviewed within 60 days" means having completed a technical review and conflicts analysis. See 5 C.F.R. § 2634.605, section 4.02 of the Public Financial Disclosure Guide, and the Certification Requirements section of the Confidential Financial Disclosure Guide.

	Confidential Reports	Public Reports
a. How many reports did your agency certify or close in 2026?		
b. Of those certified or closed in 2026, how many were initially reviewed within 60 days?		
c. Of those certified or closed in 2026, how many were certified or closed within 60 days?		

If applicable, explain why some reports were reviewed more than 60 days after submission: _____

If applicable, explain why some reports were certified or closed more than 60 days after submission. Select all that apply.

- ☐ Additional information was being sought
- ☐ Remedial action was being taken
- ☐ Other

Describe: _____

54. Number of SGEs excluded from all or a portion of the confidential filing requirements per 5 C.F.R. § 2634.904(b): _____

55. Number of extensions and late filing fees for SGE financial disclosure reports:

	Granted filing extension	Granted waiver of late filing fee	Paid late filing fee
a. Number of OGE Form 278e Reports			
b. Number of OGE Form 450 Reports or OGE-Approved Alternative Forms			

ADDITIONAL COMMENTS FOR PART 11. Indicate the question number to which the comment corresponds.

ADDITIONAL QUESTIONNAIRE COMMENTS:

Point of contact to answer OGE follow-up questions regarding this questionnaire:

Name: _____

Title/Position: _____

Email Address: _____

Phone Number: _____

What is the preferred mailing address for the Agency Head, Designated Agency Ethics Official, Chief Human Capital Officer (or equivalent), and Inspector General:

Agency Head

Street:

City:

State:

Zip code:

DAEO

Street:

City:

State:

Zip code:

Chief Human Capital Officer (or equivalent)

Street:

City:

State:

Zip code:

Inspector General

☐ Not applicable

Street:

City:

State:

Zip code:

By submitting, the agency attests that, to the best of their knowledge and belief, all responses reported in this submission are accurate and complete.